

---

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

---

**FORM 8-K**

---

**CURRENT REPORT**  
Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) June 12, 2023

---

**NORTHERN TRUST CORPORATION**

(Exact name of registrant as specified in its charter)

---

Delaware  
(State or other jurisdiction of  
incorporation)  
50 South LaSalle Street  
Chicago, Illinois  
(Address of principal executive offices)

001-36609  
(Commission File  
Number)

36-2723087  
(IRS Employer Identification No.)  
  
60603  
(Zip Code)

Registrant's telephone number, including area code (312) 630-6000

---

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ? Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ? Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ? Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ? Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

<u>Title of each class</u>	<u>Trading Symbol</u>	<u>Name of each exchange on which registered</u>
Common Stock, \$1.66 2/3 Par Value	NTRS	The NASDAQ Stock Market LLC
Depository Shares, each representing 1/1,000th interest in a share of Series E Non-Cumulative Perpetual Preferred Stock	NTRSO	The NASDAQ Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ?

**If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ?**

---

---

## Item 7.01. Regulation FD Disclosure

On June 12, 2023 at approximately 1:45 p.m. (ET) / 12:45 p.m. (CT), Jason J. Tyler, Executive Vice President and Chief Financial Officer of Northern Trust Corporation (the "Corporation"), and Thomas A. South, Executive Vice President and Chief Information Officer, will speak at the Morgan Stanley Financials, Payments and CRE Conference (the "Conference") in New York. The audio webcast of the presentation will be available live and for replay for 30 days from the presentation date and can be accessed from the "Investor Relations" section of the Corporation's website at <https://www.northerntrust.com/about-us/investor-relations>. Attached as Exhibit 99.1 are the slides that Mr. Tyler and Mr. South will present at the Conference.

The information in this Form 8-K, including Exhibit 99.1, is being furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed to be incorporated by reference in any registration statement or other document filed under the Securities Act of 1933, as amended, or the Exchange Act, except as otherwise stated in such filing.

## Item 9.01. Financial Statements and Exhibits

(d) Exhibits:

<u>Exhibit Number</u>	<u>Description</u>
<a href="#"><u>99.1</u></a>	<a href="#"><u>Conference Slides</u></a>
104	The cover page from this Current Report on Form 8-K, formatted in Inline XBRL.

---

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NORTHERN TRUST CORPORATION

(Registrant)

Dated: June 12, 2023

By:

/s/ Jennifer L. Childe

Jennifer L. Childe

Senior Vice President and  
Director of Investor Relations